## HUB HILDENBRAND

## ADVANCED GUITAR BOOK

## CHAPTER V <br> 59 pages (434-492 of book)

## SCALES

Church Modes
The 33 Regular Scales
All Fingerings Across the Neck (Concepts)
Practicing Scales (Exercises)
72 South Indian Scales
The Scale Alap
All (66) Pentatonic Scales

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## SCALES

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## Chord-Scale System

The tones of a scale (usually a heptatonic, or 7 -note scale) are falling into one of the following groups, or categories:

## I. STANDING TONES (stable)

Chord-tones (harmonic framework, fundamental chord, harmonic function); 1, 3, 5 (and 8), the skeleton

## 2. GOING TONES (unstable)

Nonchord-tones or nonharmonic tones; 2, 4, 6 and 7
Nonharmonic tones are percieved as dissonant and create intervals of a second, fourth, sixth or seventh. Their tendency is to resolve to a chord-tone.

## Categories of Nonchord tones:

- Passing tone (german: Durgangston). They can also contain more notes
- Neighbor Tone (german: Wechselton), upper or lower neighbor tone
- Anticipation (german: Vorausnahme)
- Suspension (german: Vorhalt), which can be prepared or unprepared (german: freier Vorhalt). Retardation is a suspension which resolves upwards
- Escape tone (german: abspringender Nebenton) is a neighbor tone that is approached stepwise from a chord tone and resolved by leaping usually in the opposite direction back to a chordtone
- Escape tone reverse (german: angesprungener Nebenton) is a neighbor tone that is approached by leap from a chord tone and resolved stepwise usually in the opposite direction back to a chordtone
- Free Neighbor Tone (german: freier Nebenton) is a neighbor tone that is approached and left by a leap
- Pedal tone

Generally Nonchord tones can be diatonic and chromatic.
If more Nonchord tones taking place at the same time, Passing-, Suspension- or Neighbor-chords can be generated.

If Nonchord tones become part of a chord, they are called tensions or extensions.

## A CHORD AND A SCALE IS ONE AND THE SAME

 Chord and scale are intertwinedStacking up seconds (stepwise) it is a scale


Stacking up thirds and playing them at the same time it is a chord


In both cases the pool of notes is just the same. A chord always implies one or more possible scales, and a scale always implies one ore more possible chords.

This pool is the source of melody and harmony.

## Examples of Nonharmonic Tones

Em Acolian

Passing tone
Passing tones


Neighbor Tone


Free Escape (or Neighbor) Tone
Free Escape (or Neighbor) Tone + Passing Tone


Diatonic Chord Tone Approaches
Enclosure

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Fm Harmonic Minor Scale
chord-tones ( $\mathrm{F}, \mathrm{Ab}, \mathrm{C}$ ) on the beat, non-chord-tone (scale) off the beat (on Ra), stepwise motion only whole scale is present $E^{\natural}-F-G|G-A b-B b| B b-C-D b$

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## Tonal Tendencies of Scale Tones

Music is the minature of a universe. When we exam the tendencies of scale tones, we will understand, what that means.

The root is the gravitational center (a definition of tonality: A tone to which all the others gravitate to). Generally all notes can resolve directly to the root.

The perfect fifth stabilizes the tonality and confirms the root.
The Tonal Framework I (Root and 5th) gives the root its stability. Beside the octave, the perfect 5 th is merging to the highest possible degree with the root and is the next strongest tone of gravitational force besides the root.

Both neighbour tones 7 and 2 want to resolve to the root (or octave) and 4 and 6 want to resolve to the 5 th.

The only note which doesn't disturb the tonal framework I , is the third. Whether major or minor, it tends to follow directly the gravitational pull of the root, and at the same time having itself an attraction to the 2 and the 4 to gravitate to. The major third even confirms the root!

General point: do not confuse the resolution of harmonic tension with the relaxation of the vocal chords moving down in pitch!
The voice tends to prefer smaller intervals (steps), since they are easier to sing. Larger intervals (larger leaps) are already adding expression.

Harmonic Series: Generally you can say, that partials I-6 make up the tones of gravitivity/resolution and the tonal framework. The minor 3 rd can be seen as a variation of the 5th partial (the major third).

Legend:

- black notes: "going tones" (labil, unstable)
- white notes: "standing tones" (stable), framework, gravitation


## Characteristic pitch: in Ionian and Aeolian the highest tension of a note in the scale:

A minor second above a framework tone is percived to have a lot of tensions. It really fights for for supremacy. Its a question of either-or and not of coexistence. When singing that pitch you really feel the dissonance. That is very true for the b6 in Aeolian and to a lesser degree of course, for the 4 in Ionian. In Ionian the dissonance of the 4 has another added reason, which is the danger of the 4 , becoming the tonal center itself and even confirmed by the root, which would be the perfect fifth of the 4 (Framework 2), the subdominant!

## The harmonic series and the decrease of gravity:

The intervals of the harmonic series are, in a way all confirming the fundamental as the root, but with a very fast decrease of gravity, or less and less confirming the fundamental as the harmonic series rises. For our purposes, and for practical use, I consider only the first 9 partials. The perfect 5th has the most prominent function in supporting the root, and the same goes, with a lesser degree for the major third. The minor third of the fundamental (coming very late - partial 19 - in the harmonic series), I consider (see also Paul Hindemith) as just a variation of the major third. Another way to percive a minor third is the association of it as part of a major triad. You can hear that the minor third $E$ and $G$ tend to resolve to $C$ ! That is very easily explained in the harmonic series (partials 5 and 6).
Caution: when deriving rules from the harmonic series for our music can be sometimes critcal, since the intonation of the harmonics and our $\mathrm{I}_{2}$-ET is different to varying degrees. For example partials 7 to 8 and partials 8 to 9 , both form a major second, but of different size. In the harmonic series in both cases 8 is confirmed as the root, whereas in our music (I2-ET) it is not clear which note of a major second is more of a gravitational center. We tend to give the lower note that function, since 8 to 9 ( 204 cent) is closer to our system than 7 to 8 (23I cent)!

The harmonic series from E:


Decreasing level of blending (increasing dissonance).
Its fascinating, that it took some time, in music history to recognize the octave as just a repetition of the root in a higher register!

## Tonal Harmony

The tonal Framework I is the perfect 5th. The added minor or major third is the only interval we can add, without having the interval of a 2nd to the root or 5 th! In other words: the addition of the 3 rd is the only interval which will not question the tonality/stability.

## E F\# G A B C D E

The 4 traditional triads (tertian harmony) - major, minor, diminished, augmented - are the only 3-Note Structures which don't include a major or minor second.


E Minor (Aeolian, Natural Minor)


E, Tonal Framework 1 with 3rd

## Church Modes ist Exercise, Singing <br> Lesson 01 <br> Ionian



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## The Church Modes



Aeolian (Minor)


Locrian

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## 7 Church Mode Melodies

a) all seven notes of the scale should be present
b) " $C$ " should always sound as the root (resolution)

Hub Hildenbrand
C Ionian


C Dorian


C Phrygian


C Lydian


C Mixolydian


C Aeolian


C Locrian

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# The Church Modes Put In Systematic Order 

c.n. $=$ characteristic note


Scales are also arranged from "bright" to "dark"

# Scale Organization (E Major) 

Leading Tone (D)
called Yeden in Turkish Classical Music

Root (T)
the leading tone wants to go up to tonic but is also opening the door to downward movement (lower Tetrachord)


Trichord, also Cesnî (Maqam), which
means taste, smell, character


The concept of Cesnî in maqam music is very connected to the
importance the $3^{\text {rd }}$ has in western music, which gives the main mood!

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## A pendulum between tonic and subdominant (two gravitational forces)



The major triad (E, G\# and B) you find at partials 4,5 and 6 of the harmonic series


Tonal framework I is the heart of the tonality
T:Tonic |D: Dominant | S : Subdominant Each note has one or two of these 3 functions

## Basit Maqams of Turkish Classical Music

Two PLATEAUS! A 7 -note scale is constructed by connecting a pentachord with a tetrachord.

## Tonal Framework I (authentic)



Tonal Framework 2 (plagal)


* : The Güclü, the note which connects the pentachord with the tetrachord.

It is the next strongest note to the tonic. The most dominant note.

Upper and lower limb (-ang) of the Saptak (whole scale, 7 notes) of Raga


It seems very natural that the perfect intervals relating to the root are creating strong forces, which are directed towards T, D or S. A strong framework is automatically created.
In early music the major scale was tuned to just intonation: major triads on I, IV and V tuned perfectly to the harmonic series:


By emphasizing a new tone instead of the tonic, you can open a door to a complete shift of gravity!

## THE REGULAR SCALES

The aim here is to organise, to arrange all the possible scales into groups, categories. The regular scales is the first category.

## Rules for scale construction:

- 2 can be followed by 2 or b2
- b2 can be followed by 2 or \#2
- \#2 can only be followed by b2


## This results in the following laws:

- only 2 can follow after itself
- \#z is only reached and left by bz. Otherwise it sounds as if a tone is missing. For example: b2 \#2 2 (C Db E F\#) implies a missing D\#. Or enharmonically C Db Eb Fb Gb. (Compare also: Pentatonic)
- $\mathrm{b}_{2}$ on $\mathrm{b}_{2}$ is avoided (it is considered chromatic, non-diatonic)
- from each tone a major or minor third can be formed. The 5 forms/trichords are: major third (3): 2 2, b2 \#2, \#2 b2 and minor third: 2 b2, b2 2 .

Following the above rules, 33 different scales (modes) can be constructed, which then can be traced back to 7 parent scales.

Legend:
$\mathrm{b}_{2}=$ minor second
$2=$ major second
$\# 2=$ augmented second

The 33 Modes of the 7 Parent Scales


## 7 Parent Scales (in E)

## 7-NOTE SCALES (Non-Symmetric)



Melodic Minor (MMi)


Harmonic Major (HMa)


## SYMMETRIC SCALES

Whole Tone Scale (WT)


Symmetric Augmented Scale (SA)


Half Tone Whole Tone Scale (HW)


## Modes of Melodic Minor



Dorian $b_{2}$, Phrygian 96


Lydian-Augmented (Lydian *5)


## Modes of Harmonic Minor



Augmented (Ionian ${ }^{*} 5$ )

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## Modes of Harmonic Major



# A 7-Note Scale Contains... 7 single notes plus 119 Structures of $2,3,4,5$, and 6 notes 

7 Single Notes (mono-), all notes together: Heptatonic*


21 2-Note Structures (3 Families), Intervals, Dyads (di-)


35 3-Note Structures (5 Families), Tritonic

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35 4-Note Structures (5 Families), Tetratonic

P. Triad $+2 n d$


21 5-Note Structures (3 Families), Pentatonic


7 6-Note Structures, Hexatonic, Hexachords


## Expanding Our Repertoire Of Scales Adding the chromatic triad

Our starting point are the 33 regular scales, which we came up with, by applying three simple rules:
i. A major second can be followed by another major second, or a minor second
2. A minor second can only be followed by a major second, or an augmented second
3. An augmented second has to be followed, and preceeded by a minor second

We explained those rules in a previous lesson.

Since many many more scales are possible and in use in all the different cultures, we want to take a gradual journey through all the different possibilities, by taking a very systematic approach, adding more and more (musical) rules, expanding the 33 possibilities which bring us the three rules shown above.

In this next step we allow chromatic, meaning that a minor second can be followed by one more minor second. But we restrict that rule to only three situations: the middle note should be either the root, the perfect fifth, or the major 3rd, as shown on the other pages. In those situations a real audible chromaticism is avoided.

By adding the above rule (the other three rules are still valid, too) we come up with the i4 scales shown on the next page. With the exception of 3 scales, those are found in the Melakarta system of the 72 scales. In that classification each is given a unique name, too.

## All possibilities of scale degrees and their alterations

|  | Db | D | $\mathrm{D} \#$ | $(\mathrm{Fb})$ | F | $\mathrm{F} \#$ |  | Ab | A |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| C |  |  | Eb | E |  | Gb | G | $\mathrm{G} \#$ | Bbb | Bb | B | C |

These chromatic triads (triads with versions of 3 different natural notes) are possible:
B-C-Db
(D-Eb-Fb)
D\#-E-F
E-F-Gb
F\#-G-Ab
G-Ab-Bbb
G\#-A-Bb

The bold ones are the most convincing, since the $1 / 2$ steps of the chromatic triad are arranged around a very stable function (root, perfect 5 th, and major 3 rd, core pillars, partials $1-6$ of the harmonic series). In this arrangement, the functions of tension and resolution are very clear, and the occurance of a chromatic passing tone between the notes of a major second is avoided, since it will never sound as such.

## 3 Chromatic Triads


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## Example of b2-b2, Which Is Not Chromatic


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## 72 Scales (Carnatic/South Indian Melakartas) all combinations of:

It is very interesting, that although those resulting scales are sometimes very weird, the root (Sa) and the perfect fifth $(\mathrm{Pa})$ are never altered, and always present! (see Framework I)

12 Lower Tetrachords


6 Higher Tetrachords

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## All Possibilities

CHROMATIC TRIAD: B - C - Db

Agni Chakra +5
88 Sooryakantam, the i7th Melakarta

Agni Chakra +3

not in the Melakartasystem


Netra Chakra +5

Netra Chakra +3

not in the Melakartasystem


CHROMATIC TRIAD: D* - E - F

Ritu Chakra + 5


Ritu Chakra + 3

not in the Melakartasystem


CHROMATIC TRIAD: F\# - G - Ab

Aditya Chakra +3


Rudra Chakra + 3


Disi Chakra +2


Disi Chakra +3


## Pathways

Scales

## Vertical

- The Open Position
- 

I2 Positions (see Mick Goodrick),
Strict Positions

## Horizontal

- Single String


## Diagonal

- Whole Range (4 to 5 notes per string)
- Use the same (previous) fingers at half step
- $\quad$ i Note / String (close position)
- (4 Notes / string, tetrachords, each finger one tone)
- 3 Notes / String
- 2 Notes / String


## Free

- Entire range with constantly changing paths and fingerings, even with only one finger.
- free combinations. Also with open strings and harmonics...

[^0]
## C Major (Ionian)

|  |  | 3. |  | 5. |  | 7. |  | 9. |  | 12. |  |  | 15. |  | 17 |  | 19. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | 6 |  |  |  |  | 2 |  | ${ }^{4}$ |  | 5 |  | 6 |  | 7 |
|  |  | 2 |  | 3 | 4 |  | 5 |  | 6 |  |  |  | 2 |  | 3 | 4 |  |
| 5 | 6 |  | 7 | 1 |  | 2 |  | 3 | 4 | 5 |  | 6 |  | 7 | 1 |  | 2 |
| 2 | 3 |  |  | 5 |  | 6 |  | 7 | 1 | 2 |  | 3 | 4 |  | 5 |  |  |
|  |  |  |  | 2 |  | 3 | 4 |  | 5 | 6 |  | 7 | 1 |  | 2 |  | 3 |


|  |  | 5 |  | 6 |  | (7) |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | 3 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | $\bigcirc$ |  | 7 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 7 | 4 |  | 5 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 7 | 1 |  | 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  |  |  |  | 6 | $\mathbf{3}$ | 4 | 1 | 5 |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


|  |  |  |  |  |  | 7 | 1 |  | 2 |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


|  |  |  |  |  |  |  |  |  |  | 2 | 3 | 4 | 1 |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


|  |  |  |  |  |  |  |  |  |  |  |  |  | 3 | 4 | $\mathbf{4}$ |  | 5 | 5 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## C Harmonic Minor

|  |  |  | 3. |  | 5. |  | 7. |  | 9. |  |  | 12. |  |  | 15. |  | 17. |  | 19. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | - | 5 |  |  |  |  |  |  |  |  |  | 4 |  |  |  |  |  | 7 |
|  | ${ }_{6}^{1}$ |  | 2 | ${ }^{6}$ |  | 4 |  | 5 | ${ }^{6} 6$ |  |  |  |  |  | 2 |  |  | 4 |  |
|  | ${ }^{6} 6$ |  |  | 7 | $1$ |  | 2 | ${ }^{6} 3$ |  | 4 |  | 5 | ${ }^{6}$ |  |  |  | , |  | 2 |
| 2 | '3 |  |  |  | 5 | ${ }^{6} 6$ |  |  | 7 | 1 |  | 2 | ${ }^{6}$ |  | 4 |  | 5 | ${ }^{6}$ |  |
|  |  |  | 1 | 6 | 2 | ${ }^{3}$ | 7 |  |  | 5 |  |  |  |  |  |  | 2 | ${ }^{6}$ | 7 |







|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## C Melodic Minor

|  |  | 3. |  | 5. |  | 7. |  | 9. |  |  | 12. |  |  | 15. |  | 17. |  | 19. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\stackrel{4}{1}$ |  |  | $b_{3}$ | 6 | 4 |  |  |  | ${ }_{6}^{2}$ |  | 7 | ${ }^{4}$ |  | 5 |  | ${ }^{6}$ |  | 7 |
| 5 |  | 2 | 3 |  | 4 | 2 |  |  | $\stackrel{6}{4}$ |  | 7 |  |  |  | -3 |  | 4 |  |
| $2{ }_{2}{ }^{2}$ | - | 4 |  | 5 |  | 6 | 3 | 7 | 4 |  | 2 |  | $\bigcirc$ | 4 |  | 5 |  | $\frac{2}{6}$ |
| 6 |  | 1 |  | 2 | ${ }^{6}$ |  | 4 |  | 5 |  | 6 |  |  |  |  |  | ${ }^{6} 3$ |  |
|  |  |  |  |  |  |  |  |  | 2 |  |  |  |  | 5 |  | ${ }_{6}$ |  | 7 |


|  |  | 5 | ${ }^{2}$ |  | 4 | 7 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $b_{3}$ | 6 | 4 | 7 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 7 |  |  | 5 | [ l 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | 1 |  |  | 13 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  |  |  |  | $\bigcirc$ |  |  | 5 |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | b3 |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  | 7 | 1 |  | 2 | 3 | [7] |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  | 5 |  | $\bigcirc$ |  | [7] |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  | 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  |  |  |  |  |  |  | 1 |  |  |  | (e3) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  | 4 |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  | 2 |  |  |  | 1 |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  | ${ }^{6} 3$ | $\bigcirc$ |  |  |  | 5 |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  | 5 | [b3] |  |  |  |  |  |  |  |  |  |


| 6 | $b^{2}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  | 6 | 4 | 7 | 1 |  |  |  |  |  |  |



|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## C Harmonic Major



|  |  |  |  |  |  |  | 7 |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | , |  | 3 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 3 |  | $4$ |  | 1 | ${ }_{6}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 7 |  | $4$ |  | 5 | $\bigcirc$ |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  |  | 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3 | 4 |  | 5 |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  | 6 | 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  | $\stackrel{5}{2}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  | (b6) ${ }^{2}$ |  |  | 1 |  |  |  |  |  |  |  |  |  |  |  |  |


|  |  |  |  |  |  |  | 1 | 5 | $b_{6}$ | 2 |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


|  |  |  |  |  |  |  |  |  | $b_{6}$ | 2 |  | 3 | 4 | 1 |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | 3 | 4 | 4 |  | 5 |  |  |  |  |  |  |


| \|| |  |  |  |  |  |  |  |  |  |  | $\frac{3}{7}$ |  |  |  | (b) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  | 5 | b6 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  | 5 |  |  | 4 |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  | ${ }^{6} 6$ |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 5 | $1{ }^{6} 6$ |  |  |  |


|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## Harp Scales

idea from Bill Frisell's article in a book. I think it was this one:
"Arcana: Musicians on Music" by John Zorn (2000).
By using the following fingering, every note can overlap to the following note (or even more), creating a harp like effect.


## MODAL STRUCTURES

Example in D Dorian

When we have a 7 -Note Scale it is a good idea to check all its note combinations (structures) for getting a more complete idea about the possibilities which are inherent in that scale.
In a 7 -Note scale we find:

- 2I 2- Note Structures (6 different structures possible)
- 35 3-Note Structures (ig different structures possible)
- 354 - Note Structures ( 43 different structures possible)
- 215 - Note Structures ( 66 different structures possible)

Now we take a look at the different structures, I came up with. The ones I like, I selected. I put them together in the following chart. You are strongly advised to go through the process above with another scale by yourself. This chart just serves as an example.

Those structures can have two functions:

- TONIC FUNCTION (static, resolution)
- CADENCE FUNCTION (tension, resolving nicely to a tonic function structure)

|  | TONIC | CADENCE |
| :---: | :---: | :---: |
| 2-Note Structures | - $\mathrm{D}+\mathrm{F}$ <br> - $\mathrm{D}+\mathrm{A}$ <br> - $\mathrm{F}+\mathrm{A}$ | - C <br> - F G C (Csus4, Fsus2, G 44 ) |
| 3-Note Structures | - Dm <br> - D E A (Dsus2, E 4 4) |  |
| 4-Note Structures | - $\mathrm{Dm} / \mathrm{E}$ <br> - Dm/G <br> - $\mathrm{Dm}_{7}$ <br> - $\mathrm{Am} / \mathrm{D}$ <br> - G44\#4 (GCFB)! melody descending: cb g f <br> - $\mathrm{Bm}_{7}\left(\mathrm{~b}_{5}\right)=\mathrm{Dm} 6$ |  |
| 5-Note Structures | - D Minor Pentatonic (D F G A C) <br> - D Minor 6 Pentatonic (D F G A B) <br> - A Minor Pentatonic (A C D E G) |  |

Other nice colors which do not really fit into the two categories of tonic or cadence function:

- $\mathrm{F} / \mathrm{G}$
- $\mathrm{G} / \mathrm{C}$
- Am/B
- etc.


## Practicing Scale Sequences

For practicing the scale sequences it is a good idea to practice with a drone, to always hear the relationships. This is a good eartraining exercise at the same time. And is more inspiring.

Scale Sequences (Alankar)
$\delta=16$ th at $88-107$


Trichords (see also page on Da Ra Da)


Thirds

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foot on quarter notes, difficult if played fast!


Whole octave patterns (Murchhana, Modes). Murchhana means modulation

downwards


Variation

(only upwards)


Chhoot Murchhana?

or downwards:

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or downwards:


Extension by one note higher (or lower) each time
( $2-3$ octaves range)
and downwards


Da Ra Da Ra Da

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or upwards:


# Fast Movements <br> Zamzama, Jamjama 

All patterns can sequence upwards and downwards - except where indicated All fast movements can be played as normal (slower) scale sequenses, too.

all Di Ri Di Ri
tie: repeated portion

(upward)
(downward)


## 



Di Ri Di Ri Da


or start here


## ( $>$ ) <br> Scale Sequences (Da Ra Da)



Tisra Jati (in 3)


# Scale Sequences, and Variation Techniques <br> Sequences (Alankar) \& Musical Phrases (Palta) 

Palta (lit. turn) is a general word for exercise (or tan), which also contains the alankars, but in practice paltas are the (more musical, typical) phrases in raga. When paltas are sequenced, this must be done within the constraints of the rag's movements, which often differs in up-and downward movement.

Alankar (lit. ornament) is a specific type of a melodic pattern, worked out with permutations of notes, and typically played and practiced as a sequence on every scale degree. Alankars are practiced in that, which means just plain scales, not raga.

In the general theory of alankar, they are classed according to how many notes are in the pattern (from one to seven), and the number of beats or aksharas (commonly from 2 to 24 ).
Alankars and Paltas can vary from simple to complex.

- for instance, a 2-note alankar with 2 beats could be either Sa Re , or Re Sa.
- a 2-note, 3 -beat alankar could be SSR, RSS, SRR, RRS, SRS, or RSR.
- for a 4-note, 6 -beat alankar, the possibilities are enormous, but a few of them might be: SRGmRS, SGRmGR, SmRGSR, etc.
(Indian scale degrees Sa Re Ga Ma Pa Dha Ni, equal Do Re Mi Fa Sol La Si)

We can workout different permutations of an alankar by applying these variation techniques:

- Prime: original row
- Inversion: upside down (same intervals, but opposite direction)
- Retrograde: backwards (german: Krebs, Krebsgang)
- Retrograde-Inversion (german: Krebsumkehrung)
notes:
In our scale sequences we adjust the intervals to the scale (a minor 2 nd can become major, etc.). In 12 -tone music we take the exact (chromatic) inversion.


## Variation Techniques



## The Scale Alap

This is a nice path exploring a scale.
The idea is to build up a scale gradually starting with the root, playing it for a while and then slowly introduce the notes in scalewise order up and down note by note.

After playing the root for a while introduce the upper or lower 2nd. What does introducing mean here? Imagine you give a party and slowly the guests arrive. You will not just open the door, but you will introduce the new arrival to the other guests who are already there. You have to treat him nicely otherwise he will not come back. In a way you show how he relates to the whole group of guests. Try to introduce every new guest to everybody else who is already there.

Do this very meditative, and gently. Ali Akbar Khan had this metaphor, that the alap is like taking a glass of water which is so full, that it already exceeds the rim, and bring it from the floor up on the table. Go very slow and very concious.

You may also want to sing along in unison.
A good road map would be to go fisrt from the root slowly down to the 6th, back to the root and up to the octave, maybe exceeding it a bit (to the 9th, or roth).

In the Indian Alap you have frequently these sections:

- Asthai: exploring the middle octave
- Antara: moving to the high $S a$ (the root up an octave) and above
- Sanchari: A more quick recapitulation of the Asthai
- Abhog: Joined to the sanchari, and explores the very highest (and or lowest) extremes of an instrument's (and a rag's) registers.


## There are some beautiful concepts:

- There is the technique of kan (not meaning the ornamentation): Before actually really playing the new tone, you just hint at it very briefly. It is the slight touching of a tone in anticipation of its being brought into full development. It is also advised to pre-hear the new note mentally, before you actually payed it.
- Suar vistar: You build up or release tension by creating a micro-universe around one tone for some time. This note is your center and you circle around it - you orbit it.
- Inventing permutations: Increase tension by creating ever-changing combinations of 2,3 , 4 or more notes.


## 13 Strict Positions (12 Strict Positions + Open Postion)

Position are indicated by roman numerals (I., II., III., etc.)
3. position:
III.

|  | I | 2 | 3 | 4 |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| SI |  | 2 | 3 | 4 |  |
|  | I | 2 | 3 |  | s4 $_{4}$ |
| SI |  | 2 | 3 |  | s4 $_{4}$ |

four forms
$\mathbf{s}=$ stretched (ist or 4 th) finger

## Observations:

- A strict position is approximately the area that can be seen in focus when looking at the fretboard
- In every position EVERYTHING - within 2 octaves plus i perfect fourth - is playable (every scale, every arpeggio, etc.)
- 6 tones are available twice
- Rule: preferably not the same left hand finger in direct succession.
- Rule: preferably avoid stretching
- The open position: equals the first position, but uses the open strings instead of any stretches (except for the high $A$ on the high e-string, no stretches are necessary)


## 5 Areas

- As an area I am defining a more loose position. The location of the left hand can shift between a few close positions.
- The $\mathrm{I}_{2}$ ( I 3 ) strict positions include ALL possible fingerings: all notes on all strings with all fingers (including stretches).
- The procedure: to extract the 5 areas ot of the 12 strict positions, they need to be evaluated: a) First mark everything in every strict position that fall well in the hand. b) Combine the marked fingerings, and put them together into an area.
- When deciding on the most convenient fingering, not only physical and technical aspects but also memorability, visual shape, and logical understanding (i.e. same notes on the high and low e-string) are relevant. They all go hand in hand. (In german language there is the word 'begreifen' which combines both)


## Chromatic Scale in the II. (Strict) Position


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## All 66 Pentatonic Scales

If you have I2 notes and you choose 5 this eqals to 792 possibilities.
If you divide the number by 12 , for the 12 transpositions, you end up with the 66 basic (non transposed) forms.

Generally a normal heptatonic scale ( 7 notes) implies 2I different possible pentatonic scales (complementary to the 21 possible dyads). These can be grouped into 3 families:

- Pentachords
- Seventh Chord + 2
- Seventh Chord +4

One Pentatonic contains:

- $\quad$ о intervals (dyads)
- io 3-Note Structures
- 5 4-Note Structures

There are only 3 pentatonic scales which contain no minor seconds

- 22223 (CD E F\# G\# C), whole tone pentachord
- 22 b $_{3} \mathrm{~b}_{3} 2$ (CDEGBb C), C7 +2
- b322b32 (C Eb F G Bb C), Cm7 + 4

Note: With 5 notes (pentatonic) it is not possible to divide the octave symmetrically!

## All Pentatonic Scales

| C | Cis | D | Dis | E | F | Fis | G | Gis | A | Ais | B |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| x | x | x | x | x |  |  |  |  |  |  |  | chromatic 5 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| x | x | x | x |  | x |  |  |  |  |  |  | chromatic $4+4$ |
| x | x | x | x |  |  | x |  |  |  |  |  | chromatic 4+\#4 |
| x | x | x | x |  |  |  | x |  |  |  |  | chromatic $4+5$ |
| x | x | x | x |  |  |  |  | x |  |  |  | chromatic 4+\#5 |
| x | x | x | x |  |  |  |  |  | x |  |  | chromatic $4+6$ |
| x | x | x | x |  |  |  |  |  |  | x |  | chromatic $4+\mathrm{b}_{7}$ |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| x | x | x |  | x | x |  |  |  |  |  |  | chromatic $3+3+4$ |
| x | x | x |  | x |  | x |  |  |  |  |  | chromatic $3+3+\# 4$ |
| x | x | x |  | x |  |  | x |  |  |  |  | chromatic $3+3+5$ |
| x | x | x |  | x |  |  |  | x |  |  |  | chromatic $3+3+\# 5$ |
| x | x | x |  | x |  |  |  |  | x |  |  | chromatic $3+3+6$ |
| x | x | x |  | x |  |  |  |  |  | x |  | chromatic $3+3+\mathrm{b}_{7}$ |
| x | x | x |  |  | x | x |  |  |  |  |  | chromatic $3+4+$ \# 4 |
| x | x | x |  |  | x |  | x |  |  |  |  | chromatic $3+4+5$ |
| x | x | x |  |  | x |  |  | x |  |  |  | chromatic $3+4+$ \# |
| x | x | x |  |  | x |  |  |  | x |  |  | chromatic $3+4+6$ |
| x | x | x |  |  | x |  |  |  |  | x |  | chromatic $3+4+\mathrm{b}_{7}$ |
| x | x | x |  |  |  | x | x |  |  |  |  | chromatic $3+\# 4+5$ |
| x | x | x |  |  |  | x |  | x |  |  |  | chromatic 3+\#4+\#5 |
| x | x | x |  |  |  | x |  |  | x |  |  | chromatic $3+\# 4+6$ |
| x | x | x |  |  |  | x |  |  |  | x |  | chromatic $3+\# 4+\mathrm{b}_{7}$ |
| x | x | x |  |  |  |  | x | x |  |  |  | chromatic $3+5+$ \# 5 |
| x | x | x |  |  |  |  | x |  | x |  |  | chromatic $3+5+6$ |
| x | x | x |  |  |  |  | x |  |  | x |  | chromatic $3+5+\mathrm{b}_{7}$ |
| x | x | x |  |  |  |  |  | x | x |  |  | chromatic $3+\# 5+6$ |
| x | x | x |  |  |  |  |  | x |  | x |  | chromatic $3+\# 5+\mathrm{b}_{7}$ |
| x | x | x |  |  |  |  |  |  | x | x |  | chromatic $3+6+\mathrm{b}_{7}$ |
|  |  |  |  |  |  |  |  |  |  |  |  |  |

All Pentachords of the 33 Regular Scales:


More Pentachords


| Seventhchord + 2nd |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| x |  | x |  | x |  |  | x |  |  |  | x | Cmaj7 + 2 |
| X | X |  | X |  |  |  | X |  |  | X |  | $\mathrm{Cm}_{7}+\mathrm{b}_{2}$ |
| X |  | X | X |  |  |  | X |  |  | X |  | $\mathrm{Cm} 7+2$ |
| X | X |  | x |  |  | X |  |  |  | x |  | $\mathrm{Cm}_{7}\left(\mathrm{~b}_{5}\right)+\mathrm{b}_{2}$ |
| x |  | x | x |  |  |  | x |  |  |  | x | Cm maj7 + 2 |
| X |  | X |  | X |  |  | X |  |  | x |  | $\mathrm{C}_{7}+2$ |
| X |  |  | X | X |  |  | X |  |  | X |  | $\mathrm{C}_{7}+$ \#2 |
| X | x |  | x |  |  | x |  |  | X |  |  | $\mathrm{C}^{\mathrm{o}} 7+\mathrm{b}_{2}$ |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| Seventhchord + 4th |  |  |  |  |  |  |  |  |  |  |  |  |
| x |  |  |  | X | X |  | x |  |  |  | X | Cmaj7 + 4 |
| x |  |  |  | X |  | x | x |  |  |  | X | Cmaj7 + \#4 |
| X |  |  |  | X | X |  |  | X |  |  | X | $\operatorname{Cma7}(\# 5)+4$ |
| X |  |  |  | X |  | x |  | X |  |  | X | Cmaj7 (\#5) + \#4 |
| X |  |  | x |  | X |  | X |  |  | X |  | $\mathrm{Cm} 7+4$ |
| x |  |  | x |  |  | x | x |  |  | X |  | $\mathrm{Cm} 7+$ 4 |
| x |  |  | X | X |  | X |  |  |  | x |  | $\mathrm{Cm}_{7}\left(\mathrm{~b}_{5}\right)+\mathrm{b}_{4}$ |
| x |  |  | x |  | x | x |  |  |  | x |  | $\mathrm{Cm}_{7}\left(\mathrm{~b}_{5}\right)+4$ |
| x |  |  | x |  | x |  | X |  |  |  | x | Cm maj7 +4 |
| X |  |  |  | X | x |  | X |  |  | X |  | $\mathrm{C}_{7}+4$ |
| X |  |  |  | X |  | X | X |  |  | X |  | $\mathrm{C}_{7}+$ \#4 |
| X |  |  | x |  | X | x |  |  | x |  |  | $\mathrm{C}^{\mathrm{o}} 7+4$ |

## Selection of Pentatonic Scales of Traditional Repertoire


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[^0]:    ${ }^{1}$ all possible open strings

